

# eCTD & DMS Integration: A Guide for Regulatory Submissions

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# Integrating eCTD Software with Document Management Systems

**Executive Summary:** In the highly regulated life sciences industry, electronic Common Technical Document (eCTD) format has become the global standard for product registrations and regulatory submissions. Pharmaceutical companies typically rely on **Document Management Systems (DMS)** to author, store, and control submission documents, and on specialized **eCTD publishing tools** to assemble and validate submissions in compliance with health authority requirements. Integrating these systems can significantly streamline the submission process by linking controlled content in the DMS with automated eCTD compilation and validation workflows. Well-designed integration improves efficiency and data consistency, reduces manual errors, and provides a single interface for content management and submission publishing (<sup>[1]</sup> [www.veeva.com](http://www.veeva.com)) (<sup>[2]</sup> [www.mastercontrol.com](http://www.mastercontrol.com)). Leading vendors and regulators have embraced such connectivity: for example, [Veeva Vault Submissions](#) has been connected to publishing tools from Lipient, Extedo, and Lorenz to enable a cloud-based end-to-end workstream (<sup>[1]</sup> [www.veeva.com](http://www.veeva.com)) (<sup>[3]</sup> [www.veeva.com](http://www.veeva.com)). Similarly, MasterControl's DMS has a dedicated connector for Extedo's eCTDmanager, giving companies "a single interface to navigate" for creating and publishing valid submissions (<sup>[4]</sup> [www.mastercontrol.com](http://www.mastercontrol.com)) (<sup>[2]</sup> [www.mastercontrol.com](http://www.mastercontrol.com)). By unifying DMS content management with eCTD assembly, organizations can reap benefits in productivity, compliance visibility, and regulatory readiness (see Table 2). However, integration also introduces technical and organizational challenges – such as system compatibility, [validation requirements](#), and change management – that must be carefully managed. This report provides a comprehensive examination of eCTD-DMS integration, including background on regulatory requirements, current practices, integration architectures, case examples, and future trends in regulatory technology.

## Introduction and Background

Drug and biologic applications to regulators increasingly rely on **electronic submissions**. The *electronic Common Technical Document (eCTD)* is a standardized format that packages regulatory information in a digital, structured way. It facilitates communication of regulatory information between companies and agencies, including content creation, review, lifecycle tracking, and archiving of submissions (<sup>[5]</sup> [www.linkedin.com](http://www.linkedin.com)). The eCTD has specific requirements (e.g. XML backbone, metadata tagging, file naming conventions) defined by the International Council for Harmonisation (ICH) and adopted by agencies worldwide. For example, the **ICH M2 guideline** defines the eCTD format, and the European and FDA regulatory bodies have implemented eCTD submission mandates (e.g. mandatory eCTD for new applications). As one guidance notes, "eCTD format enables electronic submission, validation, and lifecycle management" (<sup>[6]</sup> [knowledgedenet.sarjen.com](http://knowledgedenet.sarjen.com)).

Concurrently, pharmaceutical companies use **Document Management Systems (DMS)** to create, approve, and archive controlled documents. A DMS (or **Electronic Document Management System, EDMS**) provides version control, audit trails, access control, and workflow for documents such as clinical study reports, manufacturing documents, and regulatory drafts. Typical examples include Veeva Vault, OpenText Documentum, and MasterControl (<sup>[7]</sup> [www.linkedin.com](http://www.linkedin.com)). As industry observers note, "There are various key software solutions widely used in Regulatory Affairs: [Regulatory Information Management \(RIM\) systems](#) (like Veeva RIM, ArisGlobal), Document Management Systems (like Veeva Vault, MasterControl, Documentum), and eCTD publishing tools (like Lorenz docuBridge, Extedo eCTDmanager, Parexel InSight Publisher)" (<sup>[7]</sup> [www.linkedin.com](http://www.linkedin.com)). Each plays a role in the submission chain: DMS tools manage content creation and version control, and eCTD publishing tools package that content into the mandated eCTD structure for submission and tracking.

Pharma organizations aim to achieve a "paperless" environment, but the reality has been slower adoption. As one industry analysis noted, "Many pharma companies have an EDMS, but the industry seems to still be a long way from

paperless” (<sup>[8]</sup> [www.lifescienceleader.com](http://www.lifescienceleader.com)). This is partly due to legacy processes, validation burdens, and global complexity. Moreover, early EDMS implementations focused on document archiving, not direct integration with submission systems. Thus historically, content often had to be extracted from DMS and manually assembled in separate eCTD tools, introducing inefficiency and risk of error. In recent years, however, suppliers and regulators have pushed for tighter integration. The rationale is clear: combining the strengths of a DMS (robust content control) with an eCTD tool (automated format compliance) can substantially accelerate submissions. As one commentator explained, blending content management with publishing in the cloud “offers a credible and innovative alternative to the architecture of the past 15 years” (<sup>[9]</sup> [www.veeva.com](http://www.veeva.com)).

To understand integration, it is important to briefly review the **historical context** of both eCTD and DMS in pharma. The Common Technical Document (CTD) standard was introduced around 2000 to harmonize paper/regional submissions. Its electronic version (eCTD) emerged in the early 2000s, culminating in mandates by regulators: for example, the FDA phased in eCTD requirements for New Drug Applications by the late 2010s, and the EMA mandated eCTD in the early 2010s. The eCTD format (currently v3.3 in use, with v4.0 coming) is XML-based and highly structured. Meanwhile, pharma companies were implementing enterprise DMS/EDMS platforms (e.g. Documentum, Veeva Vault) to control documents. These systems provided features like full-text search, workflows, digital signatures, and [GxP compliance \(21 CFR Part 11\)](#). Nevertheless, as industry veterans noted, “most programs grossly underestimate the people side of change” when moving to digital systems (<sup>[10]</sup> [www.lifescienceleader.com](http://www.lifescienceleader.com)). Early integration efforts were limited; many companies simply compiled documents by hand or used basic export tools.

## eCTD and DMS: Key Capabilities

### eCTD Publishing Systems

**eCTD publishing software** serves to assemble, validate, and output the regulatory submission package. These tools take master documents (typically Word, PPT, Excel) and generate compliant PDF files, metadata, XML instance documents, and the complete eCTD sequence with the correct modular hierarchy. Popular eCTD tools include Lorenz docuBridge, Extedo eCTDmanager, Parexel Ligent InSight Publisher, and Freyr’s Submit Pro (<sup>[7]</sup> [www.linkedin.com](http://www.linkedin.com)). Such tools provide **validation** of the eCTD structure against ICH specifications, prevent common errors (including XML schema validation, hyperlink checks, etc.), and output the final compiled submission. They often also handle differences between eCTD, NeeS (non-eCTD electronic submissions), eCTD v4.0, and region-specific formats. For example, Extedo’s eCTDmanager can compile Global CTD dossiers and generate output formats like “eCTD, NeeS, eCopy, IMPD, CTA, PIP, VNees, DMF, ASMF” for various authorities (<sup>[11]</sup> [www.mastercontrol.com](http://www.mastercontrol.com)). Lorenz’s docuBridge offers improved publishing workflow with digital signatures and global publishing (<sup>[12]</sup> [www.veeva.com](http://www.veeva.com)) (<sup>[7]</sup> [www.linkedin.com](http://www.linkedin.com)). These eCTD tools are essentially dedicated publishing pipelines: they take content and metadata as input, and produce sequences of validated XML+PDF for submission. A key weakness in standalone mode has been that users often need to manually pull data from other storages (e.g., DMS or SharePoint) into the eCTD software.

### Document Management Systems (DMS)

**Document Management Systems (DMS)** in life sciences are often enterprise-level platforms designed for GxP content. They manage controlled documents through authoring, review, approval, change control, and archival. Key features include version control, access permissions, audit trails, electronic signatures, and advanced search. Examples widely cited include Veeva Vault, MasterControl, and OpenText Documentum (<sup>[7]</sup> [www.linkedin.com](http://www.linkedin.com)). Many organizations also leverage general enterprise content management (ECM) systems (e.g. Microsoft SharePoint, but specialized for regulated content).

A modern DMS not only stores documents but also facilitates collaboration. For instance, OpenText Documentum for Life Sciences now allows real-time co-authoring of Word documents via Office 365 within the regulated repository (<sup>[13]</sup>

blogs.opentext.com). This ensures that multiple team members can work on sections of a regulatory document simultaneously while still maintaining a central, regulated version history. Documentum and similar platforms also offer “lifecycle” workflows (e.g., from draft to approval to release) and integrate with Quality Management Systems for change control. However, by themselves, DMS do not generate eCTD sequences; they handle source artifacts.

A DMS can be thought of as the source-of-truth for a company’s documentation. Every submission file (clinical reports, formulations, etc.) ideally originates or is stored there. One industry survey notes that DMS/EDMS adoption has been substantial: “most companies have an EDMS” <sup>(8)</sup> [www.lifescienceleader.com](http://www.lifescienceleader.com)). Yet, the ability of those systems to integrate with other software has been a challenge. A 2009 study highlighted that vendors’ limited integration capabilities were a top hurdle for DMS adoption <sup>(14)</sup> [www.lifescienceleader.com](http://www.lifescienceleader.com)). Commonly, regulated content existed in silos: the DMS, email attachments, shared drives. Integrating DMS with publishing and regulatory systems has been a persistent goal.

## The Need for Integration

Integrating eCTD tools with the DMS means creating a seamless workflow between content management and submission assembly. Instead of manually exporting approved documents from the DMS, loading them into eCTD software, and then wrapping them in the correct eCTD envelope, integration can allow, for example, an eCTD tool to directly retrieve precisely the latest approved versions from the DMS via API or connector. Conversely, once a submission is final, copies of the package (e.g., submission-ready PDFs) can be automatically stored back into the DMS for archiving.

The strategic drivers for integration include:

- **Efficiency:** Automated data transfer eliminates tedious manual download/upload steps, reducing turnaround time. As one vendor noted, integrating DMS and eCTD manager “significantly reduced” the time needed to create and publish a valid submission <sup>(2)</sup> [www.mastercontrol.com](http://www.mastercontrol.com)).
- **Data consistency:** Metadata and document versions remain synchronized. For example, an eCTD core data sheet in the DMS stays linked to the version used in the submission, avoiding mismatches.
- **Traceability:** A unified system can maintain audit trails showing when a document was moved into the submission sequence and by whom.
- **Quality and compliance:** Automation reduces human errors (e.g., wrong file, incorrect version). Consistency with regulatory requirements is easier to enforce through programmatic checks.
- **User experience:** A single interface simplifies training: users remain in the familiar DMS while accessing submission features. As Extedo’s SVP noted, users have to navigate “only a single interface,” easing adoption <sup>(2)</sup> [www.mastercontrol.com](http://www.mastercontrol.com)).
- **Scalability:** As companies face growing numbers of global submissions, integration supports a more scalable, region-agnostic process. (One analyst remarked that today’s submissions are conducted in “global” context, necessitating scalable solutions <sup>(15)</sup> [knowledgenet.sarjen.com](http://knowledgenet.sarjen.com)).

In practice, many integration projects pursue one or both of these patterns:

- **Pull integration:** The eCTD publishing tool pulls content from the DMS repository. For example, Freyr’s eCTD tool has “rDMS connectors” to pull documents from OpenText Documentum, Veeva Vault, and MasterControl <sup>(16)</sup> [www.ectdtool.com](http://www.ectdtool.com)).
- **Push integration:** Once an eCTD package is built (or accepted), a copy (or metadata) is pushed into the DMS for record-keeping. Veeva Vault’s *Submissions Archive* product exemplifies archiving eCTDs in the Vault repository <sup>(17)</sup> [www.veeva.com](http://www.veeva.com)).

Table 1 (below) highlights several representative platforms and how they interconnect in practice. The table is illustrative of the current ecosystem: life sciences firms often employ multiple vendor systems that offer integration capabilities. For

example, a combination of Veeva Vault (DMS/RIM) + multiple eCTD publishing tools is not uncommon (<sup>[1]</sup> [www.veeva.com](http://www.veeva.com)) (<sup>[7]</sup> [www.linkedin.com](http://www.linkedin.com)).

System / Platform	Category	Key Integration Features or Note	Source (Line)
Veeva Vault (RIM/DMS)	DMS/RIM	Manages controlled documents and submission content; <i>Submissions Archive</i> can import existing eCTD packages directly, preserving the XML backbone and folder structure for reuse ( <sup>[17]</sup> <a href="http://www.veeva.com">www.veeva.com</a> ). Has APIs for integration with publishing tools.	( <sup>[17]</sup> <a href="http://www.veeva.com">www.veeva.com</a> )
OpenText Documentum (DMS)	DMS/ECM	Enterprise content management with GxP features; supports collaboration (e.g. Word co-authoring) and controlled release of documents into publication workflows ( <sup>[13]</sup> <a href="http://blogs.opentext.com">blogs.opentext.com</a> ). Integration via connectors or shared drives enables content to feed into submission tools.	( <sup>[13]</sup> <a href="http://blogs.opentext.com">blogs.opentext.com</a> )
MasterControl (DMS/QMS)	DMS/QMS	Cloud Quality & Compliance platform with document control. Offers a <i>MasterControl DMS Connector</i> for Extedo's eCTDmanager, linking regulated content management and eCTD publishing ( <sup>[4]</sup> <a href="http://www.mastercontrol.com">www.mastercontrol.com</a> ) ( <sup>[2]</sup> <a href="http://www.mastercontrol.com">www.mastercontrol.com</a> ).	( <sup>[4]</sup> <a href="http://www.mastercontrol.com">www.mastercontrol.com</a> ) ( <sup>[2]</sup> <a href="http://www.mastercontrol.com">www.mastercontrol.com</a> )
Extedo eCTDmanager	eCTD Publishing Tool	Leading eCTD/NeedS publishing suite. Integrated via API/connectors to systems like MasterControl and Veeva Vault Submissions. Can compile eCTD, NeedS, DMF, ASMF, CTA, etc. ( <sup>[4]</sup> <a href="http://www.mastercontrol.com">www.mastercontrol.com</a> ).	( <sup>[4]</sup> <a href="http://www.mastercontrol.com">www.mastercontrol.com</a> )
Lorenz docuBridge	eCTD Publishing Tool	eCTD compilation and validation tool. Used globally; offers XML validation, viewer, and publishing features ( <sup>[7]</sup> <a href="http://www.linkedin.com">www.linkedin.com</a> ). Integrated with Veeva Vault as part of a solution in Veeva Vault Submissions ( <sup>[1]</sup> <a href="http://www.veeva.com">www.veeva.com</a> ).	( <sup>[7]</sup> <a href="http://www.linkedin.com">www.linkedin.com</a> ) ( <sup>[1]</sup> <a href="http://www.veeva.com">www.veeva.com</a> )
Freyr SUBMIT PRO (eCTD tool)	eCTD Publishing (External)	REGULATORY submissions platform. Advertises direct integrations ("DMS connectors") with Documentum, Veeva Vault, MasterControl to streamline importing content ( <sup>[16]</sup> <a href="http://www.ectdtool.com">www.ectdtool.com</a> ). Supports 200k+ global submissions historically ( <sup>[18]</sup> <a href="http://www.ectdtool.com">www.ectdtool.com</a> ).	( <sup>[16]</sup> <a href="http://www.ectdtool.com">www.ectdtool.com</a> ) ( <sup>[18]</sup> <a href="http://www.ectdtool.com">www.ectdtool.com</a> )

Table 1. Examples of regulatory documentation systems and their integration features/sources.

Notably, integration is moving into the cloud era. In 2013, Veeva announced that its cloud-based Vault Submissions would integrate with publishers from Lipient, Extedo, and Lorenz (<sup>[1]</sup> [www.veeva.com](http://www.veeva.com)). This established a model where the DMS (Vault) and eCTD tools share content in a single user experience (<sup>[1]</sup> [www.veeva.com](http://www.veeva.com)) (<sup>[3]</sup> [www.veeva.com](http://www.veeva.com)). Such initiatives highlight how integration can break down silos: Vault Submissions “manages the complete lifecycle of regulatory submissions content in the cloud,” and with linked publishers “life sciences companies can more easily manage, publish and submit drug applications” (<sup>[1]</sup> [www.veeva.com](http://www.veeva.com)).

## Integration Architectures and Methods

Integration between eCTD tools and DMS can be implemented in various ways:

- API-based Connectors:** Many modern platforms expose REST/SOAP APIs which allow one system to query or retrieve content from another. For example, an eCTD tool might call the Vault API to fetch a PDF or XML document. Similarly, Vault has published REST endpoints for content retrieval in Vault Submissions. Extedo's and Freyr's connectors likely use this mechanism to pull content from DMS. The MasterControl DMS Connector for eCTDmanager is presumably built on such APIs or custom integration frameworks (<sup>[4]</sup> [www.mastercontrol.com](http://www.mastercontrol.com)) (<sup>[2]</sup> [www.mastercontrol.com](http://www.mastercontrol.com)).
- File Share / File System Bridge:** In simpler scenarios, the DMS can export documents to a shared drive that the eCTD tool monitors. Veeva Submissions Archive uses file shares as an import mechanism: it can import submissions “directly from file shares while preserving the eCTD XML backbone” (<sup>[17]</sup> [www.veeva.com](http://www.veeva.com)). This is a low-tech integration, but effective for archiving past submissions.
- Metadata Mapping:** Integrations often involve mapping metadata fields between systems. For example, a “document title” or “submission number” field in the DMS may need to correspond to eCTD Section identifiers. Standardizing metadata (e.g. adopting the Electronic Submissions Gateway metadata or regional SPL standards) facilitates this.

- **Validation and Workflow Coordination:** When pulling documents from DMS for eCTD, the system should ensure only fully-approved versions are included. This often means hooking into the DMS workflow events, or implementing a manual/automated step that flags “Approved for Submission”. The integrated workflow might, for instance, restrict DMS “publication” of certain documents until all collaborators sign off.
- **Audit Trail Integration:** Both DMS and eCTD tools maintain audit logs. A comprehensive integration tracks activities across both – e.g. recording when a document was exported and when it was packaged into eCTD. While not always fully automated, best practices recommend linking audit records (e.g. DMS audit entries listed in a submission QC report).

These architectures serve the goal that an end-user (e.g. a regulatory specialist) should not have to leave the DMS environment to build a submission. The objective is to create “a single interface to navigate,” as described in the MasterControl case (<sup>[2]</sup> [www.mastercontrol.com](http://www.mastercontrol.com)).

## Benefits of Integration

**Faster Submission Development:** By automating content transfer, companies report significant time savings. In one example, MasterControl noted that with the Exedo integration, “the time to create and publish valid submissions is significantly reduced” (<sup>[2]</sup> [www.mastercontrol.com](http://www.mastercontrol.com)). Staff no longer manually search repositories or re-enter data, which can trim weeks from submission timelines.

**Reduced Errors:** Manual copy-paste and file naming are error-prone. Integration ensures the correct version of each module document is picked up. For instance, Veeva’s Vault Submissions, when integrated, “enables companies to import submissions directly ... preserving the eCTD XML backbone, folder structure, and interdocument hyperlinks” (<sup>[17]</sup> [www.veeva.com](http://www.veeva.com)). This not only maintains integrity of the submission but avoids broken links or mismatches.

**Improved Visibility:** A unified system fosters better oversight. With Vault Submissions, an integrated eCTD viewer “provides current, sequential, cumulative, and regulatory action views” so users can see a submission’s lifecycle from within the DMS (<sup>[17]</sup> [www.veeva.com](http://www.veeva.com)). Likewise, audit trails spanning content edits and submission steps allow compliance audits to trace all changes.

**Regulatory Compliance:** Both DMS and eCTD tools are required to operate under validation & compliance standards (e.g. 21 CFR Part 11, Annex 11). Integration helps ensure that documents submitted have been fully reviewed in the validated system. For example, a document may only become selectable by the eCTD tool once its status in the DMS is “Final Approved”. This enforces internal quality gates automatically.

**Collaboration Gains:** DMS usually provide collaborative authoring, version control, and global access. Integrated with eCTD publishing, the entire team (writers, reviewers, regulatory, quality) can work together smoothly. As Veeva’s Steve Gens noted, combining content management and publishing “is an important step towards next generation solutions” for regulatory content (<sup>[9]</sup> [www.veeva.com](http://www.veeva.com)).

## Challenges and Considerations

Despite clear advantages, eCTD-DMS integration projects face several challenges:

- **System Compatibility:** Different vendors use different data models and file formats. E.g. Vault, Documentum, and MasterControl each define metadata differently. Building connectors requires understanding each platform’s API or structure. Some legacy DMS may not offer modern APIs, complicating integration.
- **Regulatory Validation:** The integrated system (especially if custom-built) must itself be validated for compliance. Changes to the interface or API can require re-validation. Regulators often scrutinize electronic submission processes, so integration workflows must be robust and documented.

- **Security and Access Control:** Integration must respect the security constraints of both systems. If an eCTD tool pulls batches of documents, it should only retrieve those cleared for submission. Managing credentials and ensuring audit logs on both sides is crucial.
- **Organizational Change Management:** As one industry expert emphasized, the “people side of change” is often underestimated <sup>(19)</sup> [www.lifescienceleader.com](http://www.lifescienceleader.com)). Users have to learn new ways of working. For example, a document tag in a DMS might need to be set to “eCTD ready” to be included. Training, communication, and cross-departmental alignment (RegOps, IT, QA) are essential. Resistance can arise if the integrated workflow is seen as too rigid or complex, as noted: “Failed user acceptance can often come from an inflexible system” <sup>(20)</sup> [www.lifescienceleader.com](http://www.lifescienceleader.com).
- **Data Mapping and Standardization:** Companies often have varying naming conventions or folder structures. Integration requires mapping DMS folder hierarchies to eCTD module structures, mapping document type codes to CTD section codes, etc. Without careful design, users might still misfile a document or apply wrong metadata.
- **Volume and Performance:** Large submissions can involve hundreds of documents (10 small submissions can have many docs). System performance under heavy load, or latency in API calls, may affect usability. Early EDMS projects cited “network capacity and performance” as hurdles <sup>(14)</sup> [www.lifescienceleader.com](http://www.lifescienceleader.com)). Modern cloud solutions mitigate some of this but must be tested.
- **Regulatory Diversity:** Agencies have differing eCTD rules (US FDA, EMA, Health Canada, PMDA). An integration must either adapt to or be configurable for region-specific templates, Module 1 requirements, etc.

Addressing these requires robust integration frameworks. One approach is to use a middleware or integration middleware layer that harmonizes data. Another is to limit integration scope to core functions (e.g., only export “submission powers like external pathways, DMS sets certain fields mandatory etc.”). Ongoing governance (change control for integration scripts, routine verification) is necessary.

## Case Studies and Real-World Examples

**Veeva Vault Submissions (Cloud)** – In 2013, Veeva announced that its **Vault Submissions** application (a cloud-based DMS/RIM for regulated content) would integrate with major eCTD tools from Lipient, Extedo, and Lorenz <sup>(1)</sup> [www.veeva.com](http://www.veeva.com)). The press release explained that Vault Submissions “manages the complete lifecycle of regulatory submissions content in the cloud” and that integrating it with the publishers means companies “can more easily manage, publish and submit drug applications” <sup>(1)</sup> [www.veeva.com](http://www.veeva.com)). Extedo’s marketing lead emphasized that “with the integration of Vault Submissions and eCTDmanager, sponsors and local affiliates anywhere in the world can reliably access and publish the most current documents with each submission” <sup>(3)</sup> [www.veeva.com](http://www.veeva.com)). In effect, this case demonstrated how cloud-based content management (Veeva) plus connectors to publishing tools created an end-to-end system. While specific performance metrics were not disclosed, the qualitative result was faster, more collaborative publishing. The press coverage highlighted improved global access and reduced reliance on local drives.

**MasterControl DMS Connector for eCTDmanager (2019)** – MasterControl, a popular cloud-based QA/QMS platform with document control, partnered with Extedo in 2019. They launched a *DMS Connector* that links MasterControl’s document repository with Extedo’s eCTDmanager publishing system <sup>(4)</sup> [www.mastercontrol.com](http://www.mastercontrol.com)). According to the MasterControl announcement, this connector lets firms “optimize their processes for compiling and maintaining submissions” in various formats (eCTD, NeeS, etc.) <sup>(11)</sup> [www.mastercontrol.com](http://www.mastercontrol.com)). The integrated solution allows content to be managed in MasterControl and directly fed into eCTDmanager without switching systems. MasterControl’s SVP stated that this provides “a more seamless experience between submission content management and submission publishing” <sup>(2)</sup> [www.mastercontrol.com](http://www.mastercontrol.com)). MasterControl claims customers can navigate one interface, significantly reducing the time to create and publish valid submissions <sup>(2)</sup> [www.mastercontrol.com](http://www.mastercontrol.com)). Unlike traditional methods where files might be manually exported for publishing, this approach unifies QMS document control and regulatory submission workflow.

**Freyr SUBMIT PRO (2020s)** – Freyr Solutions, an RA service provider, advertises an “All-in-One” eCTD publishing tool (Submit PRO) that includes “DMS Integrations” with OpenText Documentum, Veeva Vault, and MasterControl <sup>(16)</sup> [www.ectdtool.com](http://www.ectdtool.com)). Promotional material reports that this service has supported “200,000+ global submissions” over more than a decade <sup>(18)</sup> [www.ectdtool.com](http://www.ectdtool.com)). While independent studies are lacking, Freyr positions its solution as enabling

regulated companies (especially mid-sized biotech) to plug content directly from these DMS platforms into the eCTD publishing engine. This supports regulatory operations at scale: as one case noted, Pharmalex (another vendor) reported expediting ~10,000 regulatory submissions yearly by embedding technology partnerships with Veeva and Lorenz (<sup>[21]</sup> [www.pharmalex.com](http://www.pharmalex.com)). Although that number refers to overall service volume rather than integration itself, it underscores the scale at which integrated digital systems operate in modern RA.

**Other Industry Efforts:** Beyond product offerings, some regulators and coalitions have also advocated integration. The International Conference on Harmonisation (ICH) has periodically issued Q&A documents and guidelines emphasizing the importance of eCTD lifecycle tracking (especially in ICH M2 and M4) ([www.ema.europa.eu](http://www.ema.europa.eu)). More proximally, the UK's MHRA has published guidance on eCTD submissions, noting the requirement to maintain "full eCTD lifecycle" for variations and renewals (<sup>[22]</sup> [knowledgegenet.sarjen.com](http://knowledgegenet.sarjen.com)). While not directly integration, these reinforce that companies need robust systems to meet such rules. Also, it's notable that as of 2024, FDA has announced support for eCTD v4.0 (with new features), pointing to continuous evolution in submission tech (<sup>[23]</sup> [www.fda.gov](http://www.fda.gov)). Integration architectures may need to adapt to such changes (e.g., new validation tools, new XML schemas).

## Data and Analysis

**Adoption Trends:** By 2025, eCTD usage is pervasive in major markets. For example, the FDA's regulatory notices and guidance signify that almost all New Drug Applications, Abbreviated New Drug Applications, ANDAs, and certain supplements must use eCTD. The EMA mandates eCTD for most applications by statute. Similarly, Health Canada requires eCTD for almost all submissions as of the early 2010s. Smaller markets (like Mexico's COFEPRIS or Brazil's ANVISA) have also moved to eCTD. (A 2025 industry blog lists dozens of agencies at various CTD/eCTD stages (<sup>[15]</sup> [knowledgegenet.sarjen.com](http://knowledgegenet.sarjen.com)).) The result is that globally, the majority of large molecule regulatory submissions are electronic.

**Volume:** Without integration, companies face heavy workloads. A medium-sized pharmaceutical company might submit dozens of lengthy dossiers each year, each containing hundreds of documents. For perspective, one leading RA service group reported handling ~10,000 submissions per year across clients (<sup>[21]</sup> [www.pharmalex.com](http://www.pharmalex.com)), and another claimed over 200,000 submissions done through its platform in 12+ years (<sup>[18]</sup> [www.ectdtool.com](http://www.ectdtool.com)). Even if these figures refer to all submission formats, they illustrate the volume. For individual organizations, the cumulative content could be on the order of tens of thousands of pages. Manual assembly of such volumes is costly; therefore integration and automation have a significant ROI in labor savings.

**Efficiency Gains:** Quantitative data on time saved is scarce in public literature, but vendor claims provide some insight. The MasterControl-Extedo integration touted "significantly reduced" development time (<sup>[2]</sup> [www.mastercontrol.com](http://www.mastercontrol.com)). A conservative estimate might assume integration cutting submission assembly by, say, 20-50%, given the elimination of manual document transfers. For example, if manually pulling documents takes 2 days per dossier, integration could save week(s) of workchainwide. Another angle: According to a project manager survey (ILSS/Gens 2007/2009), document management hurdles (including "integration, performance, search") ranked high (<sup>[14]</sup> [www.lifescienceleader.com](http://www.lifescienceleader.com)), implying that addressing these can greatly impact project timelines.

**Quality Outcomes:** Integrated workflows also reduce rejection risk. Health authorities frequently return submissions for technical issues (invalid hyperlinks, missing XML references, wrong file formats). When documents are interlinked (as in [54]), such errors become systematically less likely. The Veeva Submissions Archive's integrated viewer reduces review time; a study in regulatory intelligence suggests that ease of reviewing submissions correlates with fewer follow-up requests from agencies.

**Cost Considerations:** There is a one-time cost to implement integration (software, consulting, validation). These may be justified if they eliminate the need for "patchwork" manual processes. In an example of regulatory submissions management cost, life science analysts argue that faster submissions can extend effective patent life and market exclusivity, translating time saved into millions of dollars in drug revenue (<sup>[24]</sup> [www.appliedclinicaltrials.com](http://www.appliedclinicaltrials.com)). Integrating RA systems can thus have significant downstream financial impact.

**User Satisfaction:** Although hard to measure directly, user feedback trends indicate improved satisfaction with streamlined systems. The LinkedIn regulatory tools post commented, “The future is digital, integrated, and data-driven” (<sup>[25]</sup> [www.linkedin.com](http://www.linkedin.com)), emphasizing that integration is seen as a competitive advantage in the industry. Positive quotes in vendor releases (MasterControl, Extedo) serve as testimonials to ease of use.

## Perspectives and Expert Opinions

Industry analysts and consulting firms often highlight technology synergy in regulatory operations. Steve Gens (Gens & Associates), cited in Veeva’s announcement, noted that combining cloud content management with publishing “is an important step” toward next-gen RA solutions (<sup>[9]</sup> [www.veeva.com](http://www.veeva.com)). Companies such as Pharmalex and Submit Pro (Freyr) promote their integrated services, indirectly validating demand. On the challenges side, veteran EDMS consultant Dan Schell acknowledged that document management alone is insufficient without aligning processes and people (<sup>[8]</sup> [www.lifescienceleader.com](http://www.lifescienceleader.com)) (<sup>[10]</sup> [www.lifescienceleader.com](http://www.lifescienceleader.com)). The consensus is that successful integration requires executive support and cross-functional collaboration.

Academic literature on this specific integration topic is limited. However, broader studies on informatics in pharma support integration principles: interoperability of systems is key to efficiency and compliance (Moalla et al. 2018 ). Technological papers on interoperability often cite such industry needs. While not specific, they underscore that aligning disparate systems (like DMS and submission software) addresses a recognized gap in life sciences IT architectures.

## Implications and Future Directions

Looking ahead, several trends will affect eCTD-DMS integration:

- **Cloud and SaaS:** As more vendors move to cloud platforms (Veeva, MasterControl, OpenText CE), integration via web APIs will get easier. Cloud-native apps can “push” data to each other more securely. The 2013 Veeva example foreshadowed this shift (<sup>[1]</sup> [www.veeva.com](http://www.veeva.com)).
- **eCTD v4.0 and Beyond:** FDA’s introduction of eCTD v4.0 (support announced in 2024 (<sup>[23]</sup> [www.fda.gov](http://www.fda.gov))) will introduce new capabilities (e.g. APIs for transmission, PDF/A). DMS/eCTD integration must adapt to v4.0’s requirements (e.g. new XML standards). Tools will likely update connector schemas accordingly.
- **Regulatory Cloud Services:** Agencies are themselves moving to cloud portals (e.g. FDA ESG Gateway, EMA’s SPOR database). Integration may extend to pushing submission meta-data directly from DMS-connected systems to regulators’ platforms, further automating the pipeline.
- **Artificial Intelligence:** AI/ML could be layered on top of integrated systems. For instance, DMS content could be automatically categorized or summarized for Module 2 sections by AI; eCTD software might flag probable issues before full validation. Automation may extend so that integration is not just a wire, but a smart orchestrator.
- **Broader Lifecycle Connectivity:** Integration might extend beyond publishing to other regulatory systems. For example, linking labeling management (for product leaflets) to submission content, or tying clinical trial data systems to submissions (similar to the LMS example [23]). IDMP (substance and product data standards) efforts require sharing product master data across RIM, DMS, and submissions.
- **Regulatory Collaboration:** As regulators increasingly issue interactive review feedback (e.g. Chapter 1 discussions, Caracal format), companies might need to integrate DMS with submission archival systems so that regulator comments can feed back into document revision processes.

In summary, the future is heading toward a *regulatory content ecosystem* rather than siloed applications. Our integration topic is a key piece of that evolution.

## Conclusion



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